



United States Patent AND



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/974,718

10/09/2001

Lars-Goten Svenson

0007533-0003

CONFIRMATION NO. 7846

FORMALITIES LETTER

OC000000007103457*

J. D. Harriman II COUDERT BROTHERS LLP 23rd Floor 333 South Hope Street Los Angeles, CA 90071

Date Mailed: 11/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 4 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(i) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 471.

A copy of this notice MUST be returned with the reply.

Customer Service Center

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



\$ Sector



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Lars-Gote Svenson, et al.

: 09/974,718

: October 9, 2001

: Ringing and Inter-Symbol Interference Reduction in Optical Communications

./Div. : 28°

Examiner : Not Assigned Reference : 0007533-0003

LETTER IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

CERTIFICATE OF MAILING (37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D. C. 20231.

COUDERT BROTHERS LLP 333 South Hope St., 23rd Floor Los Angeles, California 90071 (213) 229-2900

Date of Deposit: January 8, 2002

Jose Ramos

Assistant Commissioner for Patents Box Missing Parts Washington, D.C. 20231

Commissioner:

In response to the Notice to File Missing Parts of Non-Provisional Application dated November 21, 2001, enclosed are:

- 1. Copy of Notice to File Missing Parts of Non-Provisional Application
- 2. Check in the amount of \$471, which includes the basic filing fee of (\$370) extra claim fee (36.) and late filing fee surcharge (65.) for a small entity.
- 3. Signed Declaration for Patent Application.

Please note the correct spelling of the first inventor which is Lars-Gote Svenson.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 50-1215. Please show our reference number with any charge or credit to our Deposit Account. Our Customer Number is 23600. A copy of this letter is enclosed.

Please address all communications to J. D. Harriman II, COUDERT BROTHERS LLP, 333 South Hope St., 23rd Floor, Los Angeles, California 90071.

Respectfully submitted,

COUDERT BROTHERS LLP

Date: January 8, 2002

J. D. Harriman

LA 64387v1